



CLIENT INFORMATION SUMMARY

Thank you for your interest in Northwind. In order to process your request, we are required by US and International law to have certain information on file for identity verification (*KYC laws & Patriot Act*) and reference purposes. In order to assist you in the best possible way, please fill out this document as completely as possible. If a line item does not pertain then insert the term: "N/A" (non-applicable). **This information will be held strictly confidential** in accordance with our Privacy Policy, Gramm-Leach Bliley Act and other US and International Privacy laws. **A SEPARATE CIS FORM IS REQUIRED FOR EACH PRINCIPAL.**

Personal Information

First Name:	
Middle Name:	
Last Name:	
Gender:	
Home Street Address:	
City:	
State/Country:	
Zip Code:	
Telephone Number:	
Fax Number:	
Mobile/Cell Number:	
Email Address:	
Date of Birth:	
Social Security Number:	
Country of Citizenship:	
Passport Number:	



Date of Issue:	
Date of Expiration:	
Issuing Authority:	

Languages / Translator

Do you speak English?	
If no, please list name of translator:	
Telephone Number:	
Email Address:	
Primary language <i>(if not English)</i> :	

Corporate Information

Name of Entity Corporation/LLC:	
Street Address:	
City, State/Country, Zip:	
Organized under State/Country of:	
Telephone Number:	
Fax Number:	
Tax ID Number:	



Website of Entity:

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Legal Advisor

Attorney Name:

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Company:

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Address:

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City, State/Country, Zip:

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Telephone Number:

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Fax Number:

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Email Address:

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Bank Information

Bank Name *(where funds for project are currently on deposit):*

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Address:

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City, State/Country, Zip:

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Telephone Number:

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Account Name:

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Account Number:

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Sort Code ABA Number:

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SWIFT Code:

Account Signatory #1:

Account Signatory #2:

Bank Officer Name #1:

Bank Officer Name #2:

Telephone Number:

Fax Number:

Client Account for Disbursements (if different)

Bank Name *(where funds should be sent)*:

Address:

City, State/Country, Zip:

Telephone Number:

Account Name:

Account Number:

Sort Code ABA Number:

SWIFT Code:

Bank Officer Name:

Investment / Property / Collateral Details

Funds Available for this transaction:

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Type of Currency:

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Property Available:

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Type of Property:

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Origin of Funds:

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Are these funds free and clear of all liens, encumbrances and third-party interests?

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If not, please explain:

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Is the PROPERTY free and clear of all liens and/or encumbrances?

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If not, please explain:

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Any additional information about yourself that you wish to add:

I, _____, hereby swear under penalty of perjury, that the information provided herein is accurate and true as of this date _____ . Northwind and/or any of its financial partners are permitted to verify any of the above information listed.

Signature: _____

Dated: _____

Internal Use:

Project Code: _____ Verified by: _____